

# FACTS

## WHAT DOES DSB WEALTH MANAGEMENT DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>■ Social Security number and account balances</li> <li>■ Checking account information</li> <li>■ Retirement assets</li> <li>■ Investment Experience</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons DSB Wealth Management chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does DSB Wealth Management share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), or respond to court orders and legal investigations	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	We don't share
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	No	We don't share
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

<b>Questions?</b>	Call 219-987-4141
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### ***DSB Wealth Management***

Located in the offices of DeMotte State Bank  
 210 S. Halleck Street, P.O. Box 400, DeMotte, IN 46310  
 ph. 219-987-4141

Representatives offer securities through Securities America, Inc., Member FINRA/SIPC, and advisory services offered through Securities America Advisors, Inc.

DSB Wealth Management, DeMotte State Bank and the Securities America companies are separate entities.

**Not FDIC Insured. No Bank Guarantees. May Lose Value. Not a Deposit. Not Insured by any Government Agency.**

## What we do

### How does DSB Wealth Management protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured files and buildings.

### How does DSB Wealth Management collect my personal information?

We collect your personal information, for example, when you

- enter into an investment advisory contract
- tell us about your investment or retirement portfolio
- tell us about your investment or retirement earnings
- tell us who receives the money
- tell us where to send the money

We also collect your personal information from other companies.

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *DSB Wealth Management has no affiliates.*

### Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *DSB Wealth Management does not share with nonaffiliates so they can market to you.*

### Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *DSB Wealth Management doesn't jointly market.*

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